



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant(s): Fornof et al.  
Serial No.: 10/601,387  
For: POROUS LOW-K DIELECTRIC INTERCONNECTS WITH  
IMPROVED ADHESION PRODUCED BY PARTIAL  
BURNOUT OF SURFACE POROGENS  
Filed: June 23, 2003  
Examiner: Not yet assigned  
Art Unit: 2811  
Confirmation No.: 7973  
Customer No.: 27623

Docket No.: YOR920020153US2

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

1. Supplemental Information Disclosure Statement;
2. PTO Form 1449 without references;
3. Transmittal letter in duplicate; and
4. Postcards.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 50-0510 in the name of International Business Machines Corporation (IBM Corporation). A duplicate copy of this sheet is attached.

Respectfully submitted,

February 13, 2001

Date

Paul D. Greeley, Esq.  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
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Stamford, Connecticut 06901-2682  
(203) 327-4500

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 14502, ALEXANDRIA, VA 22313-1450, ON FEBRUARY 13, 2004.

KENROY A. BROWNE  
NAME

SIGNATURE

02/13/04  
DATE



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed concurrently herewith. This Information Disclosure Statement is being filed:

\_\_\_\_ Within three (3) months of the filing date of the national application;

\_\_\_\_ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;

**XXX** Before the mailing date of a first Office Action on the merits;

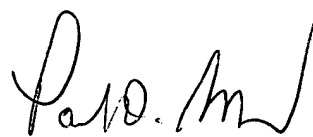
\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

- \_\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- \_\_\_\_\_ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and
- \_\_\_\_\_ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).
- \_\_\_\_\_ Filing with RCE Under 37 CFR 1.114.

The following references were either (1) cited by or (2) submitted to the Patent and Trademark Office in priority application Serial No. 10/290,616, filed November 8, 2002. Accordingly, copies of the following references have not been submitted herewith. (37 C.F.R. §1.98(d)).

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



February 13, 2004

Date

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FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)	Docket Number (Optional)	Application Number
	YOR920020153US1	10/601,387
	Applicant	
	Fornof et al.	
	Filing Date	Group Art Unit
	June 23, 2003	2812

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,524,947	02-2003	Subramanian et al.	438	634	
	6,451,712	09-2002	Dalton et al.	438	781	
	6482,733	11-2002	Raaijmakers et al.	438	633	
	6,284,149	09-2001	Li et al.	216	64	
	6,271,127	08-2001	Li et al.	438	638	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 00/31183	06-2000	PCT				X
	WO 00/40637	07-2000	PCT				X

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.